



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/EP99/09586 <b>(22) International Filing Date:</b> 2 December 1999 (02.12.99)  <b>(30) Priority Data:</b> 60/129,273                      14 April 1999 (14.04.99)                      US  <b>(71) Applicant (for AE AU BB CA CY GB GD GH GM IE IL KE LC LK LS MN MW NZ SD SG SL SZ TT TZ UG ZA ZW only):</b> UNILEVER PLC [GB/GB]; Unilever House, Blackfriars, London EC4P 4BQ (GB).  <b>(71) Applicant (for all designated States except AE AU BB CA CY GB GD GH GM IE IL IN KE LC LK LS MN MW NZ SD SG SL SZ TT TZ UG ZA ZW):</b> UNILEVER NV [NL/NL]; Weena 455, NL-3013 AL Rotterdam (NL).  <b>(71) Applicant (for IN only):</b> HINDUSTAN LEVER LIMITED [IN/IN]; Hindustan Lever House, 165/166 Backbay Reclamation, Maharashtra, 400 020 Mumbai (IN).  <b>(72) Inventor:</b> MOHAMMADI, Fatemeh; Elizabeth Arden Co., 40 Merritt Boulevard, Trumbull, CT 06611 (US).		<b>(74) Agent:</b> ROTS, Maria, Johanna, Francisca; Unilever PLC, Patent Department, Colworth House, Sharnbrook, Bedford, Bedfordshire MK44 1LQ (GB).  <b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> FOAMING COSMETIC PRODUCTS  <b>(57) Abstract</b> <p>A foaming cosmetic product is provided which is packaged within a container fitted with pump and nozzle to express a cosmetic composition in mousse form. Foam may be generated by an agent which is a mechanical device such as a screen within a valve or by an aerosol propellant in a pressurized system. The cosmetic composition includes a crosslinked non-emulsifying polysiloxane elastomer and a carboxyvinyl polymer, the latter stabilizing the composition against separation and contributing to a rich dense foam.</p>		

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**FOAMING COSMETIC PRODUCTS****BACKGROUND OF THE INVENTION****5   Field of the Invention**

The invention concerns foamed cosmetic compositions generated by aerosol or mechanical pump action.

**10   The Related Art**

Foam quality of product expressed in mousse form may be greatly affected by the formulation components. For instance, many silicone compounds are anti-foam agents.

15   Collapse or at least poor quality foam often results from inclusion of silicone compounds. Yet in the area of cosmetic chemistry, silicone compounds have highly beneficial skinfeel and other properties.

20   Crosslinked non-emulsifying siloxane elastomers have been reported as being excellent cosmetic ingredients. For instance, U.S. Patent 5,833,973 (Dobkowski et al.) describes inclusion of siloxane elastomer into an aqueous emulsion to achieve improved skinfeel properties.

25   WO 97/32561 (Nawaz) describes skincare compositions including a crosslinked polyorganosiloxane polymer, silicone oil, organic liquid crystal-forming amphiphilic surfactant and water to form an oil-in-water emulsion. Gelling agents  
30   such as carboxyvinyl polymers are optional further components. These compositions are reported to improve

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skinfeel, reduce greasiness/stickiness and have faster absorption.

A poster presentation at the IFSCC International Congress in  
5 Yokohama in 1992 (pages 289-296) presented by Sakuta  
described the usefulness of crosslinked silicone polymers as  
thickening agents for dimethylpolysiloxane. Stable water-  
in-oil emulsions were reported to be obtainable by using a  
polyoxyalkylene-modified silicone oil. A cosmetic  
10 foundation was described wherein a Carbomer was formulated  
along with the silicone elastomer and various pigments.

Although the art has recognized the usefulness of silicone  
elastomers in skin cosmetics, there has been no description  
15 of formulations successfully incorporating this substance  
into mousse type products. Formulation of mousses presents  
many challenges. These include the problems of providing  
rich and stable foams, avoidance of nozzle clogage, storage  
stability of concentrates and good skinfeel of the resultant  
20 foamed product.

Accordingly, it is an object of the present invention to  
provide a cosmetic composition in mousse form having a rich  
long-lasting foam and good skinfeel.

25

Another object of the present invention is to provide a  
cosmetic composition in mousse form which has good physical  
stability.

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These and other objects of the present invention will become more readily apparent from consideration of the following summary and detailed description.

## 5 SUMMARY OF THE INVENTION

A foaming cosmetic product is provided which includes:

- 10 (A) a container with a nozzle outlet and a foaming mechanism; and
- (B) a cosmetic composition including:
  - (i) from 0.001 to 2% by weight of a crosslinked carboxyvinyl polymer;
  - 15 (ii) from 0.1 to 30% of a crosslinked non-emulsifying siloxane elastomer; and
  - (iii) from 1 to 80% of a volatile polyorganosiloxane.

## 20 DETAILED DESCRIPTION OF THE INVENTION

Now it has been found that mousse products incorporating crosslinked non-emulsifying siloxane elastomers can be elegantly delivered through a pump mechanism with the  
25 assistance of a crosslinked carboxyvinyl polymer. Systems for this invention are aqueous emulsions, particularly oil-in-water emulsions.

Crosslinked non-emulsifying siloxane elastomers are a first  
30 essential element of this invention. They will have an average number molecular weight in excess of 2,000,

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preferably in excess of 1,000,000 and optimally will range from 10,000 to 20 million. The term "non-emulsifying" defines a siloxane from which polyoxyalkylene units are absent. Advantageously the elastomers are formed from a

5 divinyl compound, particularly a polymer with at least two free vinyl groups, reacting with Si-H linkages of a polysiloxane backbone such as a molecularly spherical MQ resin. Elastomer compositions are commercially available from the General Electric Company under product designation

10 General Electric Silicone 1229 with CTFA name of Cyclomethicone and Vinyl Dimethicone/Methicone Cross Polymer, delivered as 20-35% elastomer in a cyclomethicone carrier. A related elastomer composition under the CFA name of Crosslinked Stearyl Methyl Dimethyl Siloxane Copolymer is

15 available as Gransil SR-CYC (25-35% elastomer in cyclomethicone) from Grant Industries, Inc., Elmwood Park, N.J. The commercial products from General Electric and Grant Industries may be further processed by subjecting them to a high pressure (approximately 5,000 psi) treatment in a

20 Sonolator with recycling in 10 to 60 passes. Sonolation achieves a resultant fluid with elastomer average particle size ranging from 0.2 to 10 micron, preferably 0.5 to 5 micron. Viscosity is best when ranging between 300 and 20,000 cps at 25°C as measured by a Brookfield LV Viscometer

25 (size 4 bar. 60 rpm. 15 sec.).

Amounts of the elastomer may range from 0.1 to 30%, optimally from 1 to 15%, most preferably from 3 to 10% by weight of the composition.

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A second element of the present invention is that of a volatile polyorganosiloxane. The term "volatile" refers to those materials having a measurable pressure at ambient conditions. Volatile polyorganosiloxanes useful herein may  
5 be cyclic or linear. Preferred cyclic silicones include polydimethylsiloxanes containing from 3 to 9 silicon atoms, preferably containing from 4 to 5 silicon atoms, generally known as cyclomethicones. Preferred linear silicone oils include the polydimethylsiloxane containing from 3 to 9  
10 silicon atoms. The linear volatile silicones generally have viscosities of less than 5 centistokes at 25°C, while the cyclic materials have viscosities of less than 10 centistokes, the preferable range being from 0.1 to 8 centistokes. Examples of silicone oils useful in the  
15 present invention include: Dow Corning 224, Dow Corning 245, Dow Corning 344, Dow Corning 345 and Dow Corning 200 (manufactured by the Dow Corning Corporation); Silicone 7207 and Silicone 7158 (manufactured by the Union Carbide Corporation); SF1202 (manufactured by General Electric).

20

Amounts of the volatile polyorganosiloxane will range from 1 to 80%, preferably from 20 to 70%, optimally from 30 to about by weight of the composition.

25 A most important element of the present invention is that of a carboxyvinyl polymer. Most preferred are polymers known in the technology as Carbomers. These resins are essentially colloiddally water-soluble polyalkenyl polyether polymers of acrylic acid crosslinked with from 0.75 to 2% of  
30 polyallyl sucrose or polyallyl pentaerythritol. Carbomers are available from the B.F. Goodrich Company under the

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trademark Carbopol. Examples include Carbopol 934, Carbopol 940, Carbopol 980, Carbopol 1382, Carbopol 1342 and Pemulen TR-1 (CTFA designation: Acrylates/10/30 Alkyl Acrylate Crosspolymer). Particularly preferred is a 2% active aqueous solution of Carbopol 1382. Amounts of the carboxyvinyl polymer on an active basis may range from 0.001 to 2%, preferably from 0.01 to 1%, more preferably from 0.3 to 0.8% by weight of the composition.

10 Cosmetic compositions of the present invention are aqueous emulsions. Amounts of water may range from 30 to 85%, preferably from 55 to 70% by weight. The emulsions may be of the oil-in-water, water-in-oil or duplex variety. Most especially, the invention is concerned with the oil-in-water variety. Aqueous to oily phases will range in weight from 10:1 to 1:10, preferably from 1:1 to 2:1, optimally from 1:1 to 1.5:1.

Surfactants may be a further component of compositions according to the present invention. These may be selected from nonionic, anionic, cationic or amphoteric emulsifying agents. They may range in amount anywhere from 0.1 to 20% by weight of the composition. Illustrative nonionic surfactants are alkoxylated compounds based on C<sub>10</sub>-C<sub>22</sub> fatty alcohols and acids and sorbitan. These materials are available, for instance, from the Shell Chemical Company under the Neodol trademark. Copolymers of polyoxypropylenepolyoxyethylene sold by the BASF Corporation under the Pluronic trademark are sometimes also useful.

30 Alkyl polyglycosides available from the Henkel Corporation may also be utilized for purposes of this invention.



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Anionic type surfactants include fatty acid soaps, sodium lauryl sulphate, sodium lauryl ether sulphate, alkyl benzene sulphonate, mono- and di-alkyl acid phosphates,  
5 sarcosinates, taurates and sodium fatty acyl isethionate.

Amphoteric surfactants include such materials as dialkylamine oxide and various types of betaines (such as cocamidopropyl betaine).

10

Most advantageously the surfactant or emulsifier system is a combination of a glyceryl fatty acid ester such as glyceryl stearate in combination with an alkyl phosphate such as cetyl phosphate (available as Amphisol® A sold by the  
15 Givaudan Corporation). Preferred amounts of each of these materials may range from 0.1 to 5%, optimally from 0.8 to 2.5% by weight of the composition.

Compositions of the invention may optionally contain a skin  
20 conditioning agent. These agents may be selected from humectants, exfoliants or emollients.

Humectants are polyhydric alcohols intended for moisturizing, reducing scaling and stimulating removal of  
25 built-up scale from the skin. Typical polyhydric alcohols include polyalkylene glycols and more preferably alkylene polyols and their derivatives. Illustrative are propylene glycol, dipropylene glycol, polypropylene glycol, polyethylene glycol, sorbitol, hydroxypropyl sorbitol,  
30 hexylene glycol, 1,2-butylene glycol, 1,2,5-hexanetriol, ethoxylated glycerin, propoxylated glycerin and mixtures

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thereof. Most preferably the humectant is glycerin. Amounts of humectant may range anywhere from 1 to 50%, preferably from 10 to 40%, optimally from 25 to 25% by weight of the composition.

5

Exfoliants according to the present invention may be selected from alpha-hydroxycarboxylic acids, beta-hydroxycarboxylic acids and salts of these acids. Most preferred are glycolic, lactic and salicylic acids and their ammonium, potassium or sodium salts.

10

When the conditioning agent is an emollient it may be selected from hydrocarbons, fatty acids, fatty alcohols and esters. Petrolatum is the most preferred hydrocarbons type of emollient conditioning agent. Other hydrocarbons that may be employed include mineral oil, polyolefins such as polydecene, and paraffins such as isohexadecane (e.g. Permethyl 99® and Permethyl 101®).

15

Fatty acids and alcohols will have from 10 to 30 carbon atoms. Illustrative of this category are pelargonic, lauric, myristic, palmitic, stearic, isostearic, hydroxystearic, oleic, linoleic, ricinoleic, arachidic, behenic and erucic acids and alcohols. Ester emollients based on the fatty acids, polyalkoxylated derivatives of the fatty acids or alcohols and combinations thereof may also be useful. Vegetable derived ester can be similarly effective. Examples include soybean oil, cottonseed oil and maleated soybean oil.

20

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Amounts of the skin conditioning agent may range from 1 to 50%, preferably from 3 to 25%, optimally from 5 to 20% by weight of the composition.

5 Preservatives can desirably be incorporated into the compositions of this invention to protect against the growth of potentially harmful microorganisms. While it is in the aqueous phase that microorganisms tend to grow, microorganisms can also reside in the oil phase. As such,  
10 preservatives which have solubility in both water and oil are preferably employed in the present compositions. Suitable traditional preservatives are alkyl esters of parahydroxybenzoic acid. Other preservatives which have more recently come into use include hydantoin derivatives,  
15 propionate salts, and a variety of quaternary ammonium compounds. Cosmetic chemists are familiar with appropriate preservatives and routinely choose them to satisfy the preservative challenge test and to provide product stability. Particularly preferred preservatives are methyl  
20 paraben, propyl paraben, imidazolidinyl urea, sodium dehydroacetate and benzyl alcohol. The preservatives should be selected having regard for the use of the composition and possible incompatibilities between the preservatives and other ingredients in the emulsion. Preservatives are  
25 preferably employed in amounts ranging from 0.01% to 2% by weight of the composition.

A further essential element of foaming cosmetic products according to the present invention is a foaming mechanism.  
30 This mechanism may be in the form of a mechanical device or it can be an aerosol propellant. When it is a mechanical

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device it will be employed with a non-aerosol dispenser. Illustrative is a dispenser characterized by a container for storing the cosmetic composition, a dispensing head defined by a housing containing a pump, and a diptube for  
5 transferring the composition from the container into the dispensing head. Foam is created by requiring the composition to pass through a screen material which may be a porous substance such as a sintered material, a wire (plastic or metal) gauze screen or similar structures.

10

Suitable dispensers are described in U.S. Patent 3,709,437 (Wright), U.S. Patent 3,937,364 (Wright), U.S. Patent 4,022,351 (Wright), U.S. Patent 4,147,306 (Bennett), U.S. Patent 4,184,615 (Wright), U.S. Patent 4,598,862 (Rice),  
15 U.S. Patent 4,615,467 (Grogan et al.) and U.S. Patent 5,364,031 (Tamiguchi et al.). Most preferred however is a device sold by the Airspray International Corporation described in WO 97/13585 (Van der Heijde). All these patents are incorporated herein by reference. The Airspray  
20 device comprises a container for storing a cleansing composition and dispensing head, the latter including at least a concentric air pump and liquid pump. Each of the pumps has a piston chamber with a piston displaceable therein and an inlet and discharge, and an operating  
25 component for operating the two pumps. The operating component is integral with one of the pistons and comprises an outflow channel with a dispensing opening. Shut-off mechanisms, rendering it possible to suck up air or liquid, respectively, and dispense them, are present in the inlet  
30 and discharge of the pumps. The air pump includes a double-acting shut-off device which can be operated actively by the

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operating component. The shut-off device prevents both the inlet of air to the pump and discharge of air therefrom. The air piston is able to be moved freely at least over a small distance with respect to the operating component.

5

Aerosol propellants in pressurized metal cans or in suitable bottles may also be employed as a foam mechanism.

Propellants which may be used include C<sub>1</sub>-C<sub>6</sub> alkyl ethers, C<sub>3</sub>-C<sub>6</sub> hydrocarbons, halocarbons, carbon dioxide and mixtures

10 thereof. Illustrative hydrocarbons include n-butane, isobutane, isobutane/propane mixtures all of which are available from the Phillips Petroleum Company under the respective trademarks A17, A31, A46 and A70. Among the alkyl ethers, more prominent is dimethyl ether, diethyl  
15 ether, methyl ether ether and diisopropyl ether. Most preferred is dimethyl ether. Halocarbons can include dichlorodifluoromethane, dichlorotetrafluoroethane, chlorotrifluoromethane and mixtures thereof. Amounts of propellant may range from 1 to 40%, preferably from 2 to  
20 15%, optimally between 3 and 12% by weight based on the cosmetic composition and propellant combination. Nozzles for the aerosols may be regulated by valves such as those available from the Precision Valve Company.

25 The following examples will more fully illustrate the embodiments of this invention. All parts, percentages and proportions referred to herein and in the appended claims are by weight unless otherwise illustrated.

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EXAMPLES 1-8

The following formulations illustrate cosmetic compositions which are incorporated into a non-aerosol pump with a nozzle  
5 communicating with an Airspray Company screen foaming device.

### TABLE I

[illegible]

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**EXAMPLE 11**

A study was conducted to evaluate Carbopol® as a storage stability enhancer. The cosmetic composition (concentrate) of Example 1 (herein designated as Sample 1) served as a representative of the present invention. Test Samples 2 and 3 were respectively the formulation of Example 1 but without Carbopol 1382® and without silicone elastomer. These three formulations were stored for two days at 60°C.

**TABLE II**

PERFORMANCE RESULTS	SAMPLE		
	1	2	3
Stability	No separation	Separation	Separation
Skin Feel	Silky feel	Not silky	Not silky
Foam	Easy to foam from pump	Pump needs to be primed several times before foam generates	Pump needs to be primed several times before foam generates

Based on the above results, it is evident that the presence of both the Carbomer and the silicone elastomer are required to achieve a stable product, having a nice silky skinfeel and readily foamable from a mechanical pump.

The foregoing description and examples illustrate selected embodiments of the present invention.



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**CLAIMS**

1. A foaming cosmetic product which comprises:

5 (A) a container with a nozzle outlet and a foaming mechanism; and

(B) a cosmetic composition comprising:

10 (i) from 0.001 to 2% by weight of the composition of a crosslinked carboxyvinyl polymer;

(ii) from 0.1 to 30% by weight of the composition of a crosslinked non-emulsifying siloxane elastomer; and

15 (iii) from 1 to 80% by weight of the composition of a volatile polyorganosiloxane.

2. The product according to claim 1 wherein the foaming mechanism is a mechanical device with at least one mesh  
20 screen for generating foam.

3. The product according to claim 1 or 2 wherein the foaming mechanism is a propellant.

25 4. The product according to claim 3 wherein the propellant is selected from C<sub>1</sub>-C<sub>3</sub> alkyl ether, C<sub>3</sub>-C<sub>6</sub> hydrocarbon, halocarbon, carbon dioxide and mixtures thereof.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/09586

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7 A61K7/00 A61K7/48

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

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IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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